

WIN SECURITIES LIMITED

贏證券有限公司

Date 日期: _____

CCASS SETTLEMENT INSTRUCTION/中央結算交收指示

A/C Name 客戶姓名: _____ A/C No 戶口編號: _____ AE Code 經紀代號: _____

INSTRUCTION TYPE 類型指示 : **SI / IS** DOES COUNTERPARTY CLIENT INVOLVE IN NO CHANGE BENEFICIAL OWNERSHIP?
對手方客戶最終實益人是否為本人? ☐ YES 是 ☐ NO 否

PARTICIPANT ID/ ISI NO 參與者戶口或 ISI 編號 : _____

NAME OF COUNTERPARTY 參與者名稱 : _____

CONTACT PERSON 聯絡人 : _____ TEL NO
電話號碼: _____

COUNTERPARTY CLIENT NAME 對手方客戶名稱 : _____ COUNTERPARTY CLIENT A/C
對手方客戶賬號: _____

SETTLEMENT DATE *交收日期 : _____

STOCK CODE 股票代號	STOCK NAME 股票名稱	QUANTITY 股份數量	*R/D	*FOP/ DVP	#PURPOSE 目的	SI /ISI INPUT NO. (For internal use only) 指示輸入號碼

*R=RECEIVE 收取 D=DELIVER 交付 FOP= FREE OF PAYMENT 無須付款 DVP=DELIVER AGAINST PAYMENT 貨銀對付

#PURPOSE : C=BROKER-CUSTODIAN TRANSACTION 經紀與托管者之交易 M=PORTFOLIO MOVEMENT 組合轉移
P=STOCK PLEDGING 按股 L= STOCK BORROWING/LENDING 借股/貸股

*Settlement Time 交收時間 (Submission Date is T 提交日期為T) : 香港證券 Hong Kong Stocks T+2 環球證券 Global Stocks T+3

Client's Signature 客戶簽署

AE Signature 經紀簽署

FOR INTERNAL OFFICE USE	
SI FEE:	HANDLING:
S.V BY:	CHECKED BY:
INPUT BY:	